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PTO/SB/21 (03-03) Approved for use through 04/30/2003, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 09/848639 TRANSMITTAL Filing Date MAY 3, 2001 **FORM** First Named Inventor SHELTON E. HARRISON Art Unit (to be used for all correspondence after initial filing) **Examiner Name** PEDRO KANOF Attorney Docket Number Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) to a Technology Center (TC) Appeal, Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC v Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Identify below): Extension of Time Request return r Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Certified Copy of Priority Document(s) ENCLOSED IS A PRELIMINARY AMENDMENT TO CLAIMS 16-21 AND 57, PLEASE ENTER THIS AMENDMENT. Response to Missing Parts/ Incomplete Application Response to Missing Parts RECEIVED under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm SHELTON E. HARRISON, JR Individual

or Individual Signature

Date APRIL 8 2001

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

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Signature

Date April 8, 2004

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3678

Patent application: ELECTRONIC BOND & GUARANTY PROCESS AND

BUSINESS METHOD

Application Number: 09/848639

Filing Date: 5/3/2001

Art Unit: 3628

Examiner: Pedro Kanof

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PRELIMINARY AMENDMENT

March 31, 2004

I, the first-named inventor and sole assignee of the above referenced patent application, do hereby amend the following claims: 16-21, 57. Please enter this amendment into the current application.

Please contact me if there are any defects in this submission.

Respectfully submitted,

Shelto E. Harrison, Jr.

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